

FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



Annual Return

Form language ☒ English ☐ Hindi

Refer the instruction kit for filing the form.

I. REGISTRATION AND OTHER DETAILS

(i) * Corporate Identification Number (CIN) of the company

U85110UP1987PLC008792

Pre-fill

Global Location Number (GLN) of the company

* Permanent Account Number (PAN) of the company

AAACR6583J

(ii) (a) Name of the company

REGENCY HOSPITAL LIMITED

(b) Registered office address

A -2 SARVODAYA NAGAR
KANPUR
Uttar Pradesh
208005
India

(c) *e-mail ID of the company

ankitagupta@regencyhealthca

(d) *Telephone number with STD code

05123501111

(e) Website

www.regencyhealthcare.in

(iii) Date of Incorporation

08/06/1987

(iv)	Type of the Company	Category of the Company	Sub-category of the Company
	Public Company	Company limited by shares	Indian Non-Government company

(v) Whether company is having share capital

☒ Yes ☐ No

(vi) *Whether shares listed on recognized Stock Exchange(s)

☐ Yes ☒ No

(b) CIN of the Registrar and Transfer Agent

U74899DL1995PTC071324

Pre-fill

Name of the Registrar and Transfer Agent

SKYLINE FINANCIAL SERVICES PRIVATE LIMITED

Registered office address of the Registrar and Transfer Agents

D-153A, FIRST FLOOR
OKHLA INDUSTRIAL AREA, PHASE-I

(vii) *Financial year From date 01/04/2019 (DD/MM/YYYY) To date 31/03/2020 (DD/MM/YYYY)

(viii) *Whether Annual general meeting (AGM) held ☒ Yes ☐ No

(a) If yes, date of AGM 20/11/2020

(b) Due date of AGM 31/12/2020

(c) Whether any extension for AGM granted ☐ Yes ☒ No

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

*Number of business activities 1

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	Q	Hospital and Medical Care	Q1	Hospital activities	99

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

*No. of Companies for which information is to be given 3

Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1	SIBLING LIFECARE PRIVATE LIM	U85100UP2014PTC062031	Subsidiary	100
2	REGENCY NEPHROCARE PRIVA	U85191UP2013PTC058477	Associate	49
3	REGENCY INSTITUTE OF NURSI	U80220UP2019NPL122762	Subsidiary	100

IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

(i) *SHARE CAPITAL

(a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	55,000,000	14,042,779	14,042,779	14,042,779
Total amount of equity shares (in Rupees)	550,000,000	140,427,790	140,427,790	140,427,790

Number of classes

1

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
EQUITY				
Number of equity shares	55,000,000	14,042,779	14,042,779	14,042,779
Nominal value per share (in rupees)	10	10	10	10
Total amount of equity shares (in rupees)	550,000,000	140,427,790	140,427,790	140,427,790

(b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	0	0	0	0
Total amount of preference shares (in rupees)	0	0	0	0

Number of classes

0

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of preference shares				
Nominal value per share (in rupees)				
Total amount of preference shares (in rupees)				

(c) Unclassified share capital

Particulars	Authorised Capital
Total amount of unclassified shares	0

(d) Break-up of paid-up share capital

Class of shares	Number of shares	Total nominal amount	Total Paid-up amount	Total premium
Equity shares				
At the beginning of the year	14,042,779	140,427,790	140,427,790	

Increase during the year	0	0	0	0
i. Public Issues	0	0	0	0
ii. Rights issue	0	0	0	0
iii. Bonus issue	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0
v. ESOPs	0	0	0	0
vi. Sweat equity shares allotted	0	0	0	0
vii. Conversion of Preference share	0	0	0	0
viii. Conversion of Debentures	0	0	0	0
ix. GDRs/ADRs	0	0	0	0
x. Others, specify <input type="text"/>				
Decrease during the year	0	0	0	0
i. Buy-back of shares	0	0	0	0
ii. Shares forfeited	0	0	0	0
iii. Reduction of share capital	0	0	0	0
iv. Others, specify <input type="text"/>				
At the end of the year	14,042,779	140,427,790	140,427,790	
Preference shares				
At the beginning of the year	0	0	0	
Increase during the year	0	0	0	0
i. Issues of shares	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0
iii. Others, specify <input type="text"/>				
Decrease during the year	0	0	0	0
i. Redemption of shares	0	0	0	0

ii. Shares forfeited	0	0	0	0
iii. Reduction of share capital	0	0	0	0
iv. Others, specify				
At the end of the year	0	0	0	

(ii) Details of stock split/consolidation during the year (for each class of shares)

0

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) *

☒ Nil

[Details being provided in a CD/Digital Media]

☐ Yes

☐ No

☐ Not Applicable

Separate sheet attached for details of transfers

☐ Yes

☐ No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting			
Date of registration of transfer (Date Month Year)			
Type of transfer		1 - Equity, 2- Preference Shares, 3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred		Amount per Share/ Debenture/Unit (in Rs.)	
Ledger Folio of Transferor			

Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name
Ledger Folio of Transferee		<input type="text"/>	
Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)		<input type="text"/>	
Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
Ledger Folio of Transferor		<input type="text"/>	
Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name
Ledger Folio of Transferee		<input type="text"/>	
Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

(iv) *Indebtedness including debentures (Outstanding as at the end of financial year)

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	0	0	0
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
Secured Loans (including interest outstanding/accrued but not due for payment) excluding deposits			1,654,660,358
Unsecured Loans (including interest outstanding/accrued but not due for payment) excluding deposits			0
Deposit			0
Total			1,654,660,358

Details of debentures

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	0	0	0	0
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

(v) Securities (other than shares and debentures)

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total					

V. *Turnover and net worth of the company (as defined in the Companies Act, 2013)

(i) Turnover

2,535,789,989

(ii) Net worth of the Company

1,564,308,223

VI. (a) *SHARE HOLDING PATTERN - Promoters

S. No.	Category	Equity	Preference
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		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	9,155,727	65.2	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	0	0	0	
10.	Others	0	0	0	
	Total	9,155,727	65.2	0	0

Total number of shareholders (promoters)

9

(b) *SHARE HOLDING PATTERN - Public/Other than promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	341,146	2.43	0	
	(ii) Non-resident Indian (NRI)	300	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	

2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	800	0.01	0	
5.	Financial institutions	2,802,217	19.95	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	470	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	971,287	6.92	0	
10.	Others ALTERNATIVE INVESTMENT	770,832	5.49	0	
	Total	4,887,052	34.8	0	0

Total number of shareholders (other than promoters)

15,415

**Total number of shareholders (Promoters+Public/
Other than promoters)**

15,424

VII. *NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS
(Details, Promoters, Members (other than promoters), Debenture holders)

Details	At the beginning of the year	At the end of the year
Promoters	9	9
Members (other than promoters)	15,433	15,415
Debenture holders	0	0

VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

(A) *Composition of Board of Directors

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive

A. Promoter	2	0	2	0	43.69	0
B. Non-Promoter	0	3	0	3	0	0
(i) Non-Independent	0	0	0	0	0	0
(ii) Independent	0	3	0	3	0	0
C. Nominee Directors representing	0	2	0	2	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	2	0	2	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
Total	2	5	2	5	43.69	0

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date

7

(B) (i) *Details of directors and Key managerial personnel as on the closure of financial year

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
ATUL KAPOOR	01449229	Managing Director	2,702,260	
RASHMI KAPOOR	01818323	Whole-time director	3,433,502	
ANIL KUMAR KHEMKA	00270032	Director	0	
RABINDRA NATH MOH	07895550	Director	0	
CHARLES ANTOINE JA	01266976	Nominee director	0	
TANUSHREE SHYAM E	06965596	Nominee director	0	
ARUN SHRIVASTAVA	06640892	Additional director	0	

(ii) Particulars of change in director(s) and Key managerial personnel during the year

2

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
RAJIV KUMAR BAKSHI	00264007	Director	29/09/2019	CESSATION
ARUN SHRIVASTAVA	06640892	Additional director	02/12/2019	APPOINTMENT

IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS

Number of meetings held

2

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
EGM	27/09/2019	15,430	48	63.69
AGM	27/11/2019	15,429	43	65.28

B. BOARD MEETINGS

*Number of meetings held

5

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	17/05/2019	7	7	100
2	14/09/2019	7	6	85.71
3	28/09/2019	7	6	85.71
4	02/12/2019	6	6	100
5	27/02/2020	7	7	100

C. COMMITTEE MEETINGS

Number of meetings held

12

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	AUDIT COMM	17/05/2019	4	4	100
2	AUDIT COMM	14/09/2019	4	4	100
3	AUDIT COMM	02/12/2019	3	3	100
4	AUDIT COMM	27/02/2020	3	3	100
5	STAKEHOLDER	17/05/2019	4	4	100

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
6	STAKEHOLDER	14/09/2019	4	4	100
7	NOMINATION	17/05/2019	3	3	100
8	NOMINATION	14/09/2019	3	3	100
9	NOMINATION	02/12/2019	3	3	100
10	NOMINATION	27/02/2020	3	3	100

D. *ATTENDANCE OF DIRECTORS

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	20/11/2020
								(Y/N/NA)
1	ATUL KAPOOR	5	5	100	7	7	100	Yes
2	RASHMI KAPOOR	5	5	100	3	3	100	Yes
3	ANIL KUMAR	5	5	100	12	12	100	No
4	RABINDRA NATH	5	5	100	9	9	100	No
5	CHARLES ANAND	5	3	60	0	0	0	No
6	TANUSHREE	5	4	80	1	1	100	No
7	ARUN SHRIVASTAVA	1	1	100	0	0	0	No

X. *REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

☐ Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

2

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	ATUL KAPOOR	MANAGING DIRECTOR	10,599,996	0	0	265,000	10,864,996
2	RASHMI KAPOOR	WHOLE-TIME DIRECTOR	8,400,000	0	0	210,000	8,610,000
	Total		18,999,996	0	0	475,000	19,474,996

Number of CEO, CFO and Company secretary whose remuneration details to be entered

3

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	RISHI TANDON	COMPANY SEC	1,226,190	0	0	30,164	1,256,354
2	DEEPAK KUMAR G	CFO	1,669,800	0	0	51,460	1,721,260
3	SHYAM SULTANIA	COMPANY SEC	56,880	0	0	0	56,880
	Total		2,952,870	0	0	81,624	3,034,494

Number of other directors whose remuneration details to be entered

6

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	ANIL KUMAR KHEM	DIRECTOR	0	0	0	187,500	187,500
2	ANUSHREE SHYAM	NOMINEE DIRE	0	0	0	105,000	105,000
3	RAJIV KUMAR BAK	DIRECTOR	0	0	0	97,500	97,500
4	RABINDRA NATH M	DIRECTOR	0	0	0	187,500	187,500
5	CHARLES ANTOIN	NOMINEE DIRE	0	0	0	75,000	75,000
6	ARUN SHRIVATAV	ADDITIONAL DI	0	0	0	30,000	30,000
	Total		0	0	0	682,500	682,500

XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year ☒ Yes ☐ No

B. If No, give reasons/observations

XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS ☒ Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES ☒ Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

☐ Yes ☒ No

(In case of 'No', submit the details separately through the method specified in instruction kit)

XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

SURENDRA KUMAR SAHU

Whether associate or fellow

☐ Associate ☒ Fellow

Certificate of practice number

4040

I/We certify that:

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.
(b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

Declaration

I am Authorised by the Board of Directors of the company vide resolution no. ... 09 dated 26/09/2020

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

- Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
- All the required attachments have been completely and legibly attached to this form.

Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.

To be digitally signed by

Director

RASHMI
KAPOOR

DIN of the director

01818323

To be digitally signed by

ANKITA
GUPTA

- ☒ Company Secretary
☐ Company secretary in practice

Membership number

Certificate of practice number

Attachments

1. List of share holders, debenture holders
2. Approval letter for extension of AGM;
3. Copy of MGT-8;
4. Optional Attachement(s), if any

Attach
Attach
Attach
Attach

List of attachments

MGT-8-2020.pdf
Details of Committee Meeting.pdf

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This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company

Form No. MGT- 9			
EXTRACT OF ANNUAL RETURN			
as on the Financial Year ended on 31st March 2020			
I	Registration & Other Details:		
i	CIN	U85110UP1987PLC008792	
ii	Registration Date	8th June 1987	
iii	Name of the Company	Regency Hospital Limited	
iv	Category/Sub-category of the Company	Company Limited by Shares/Indian Non-Government Company	
v	Address of the Registered office & contact details	A-2, Sarvodaya Nagar, Kanpur-208005, Uttar Pradesh, India Ph. No.-0512-3081111 e-mail- investor@regencyhealthcare.in/ankitagupta@regencyhealthcare.in website: https://regencyhealthcare.in/	
vi	Whether listed company	No	
vii	Name , Address & contact details of the Registrar & Transfer Agent, if any.	Skyline Financial Services Pvt. Ltd., D-153/A, 1st Floor, Okhla Industrial Area, Phase-1, New Delhi-110020 Ph. No-011-26812682/83 email: admin@skylinerta.com website: http://www.skylinerta.com/	
II	Principal Business Activities of The Company		
	All the business activities contributing 10% or more of the total turnover of the company shall be stated		
SL No	Name & Description of main products/services	NIC Code of the Product /service	% to total turnover of the company
1	Hospital Activity	8610	99%

III Particulars of Holding , Subsidiary & Associate Companies-					
S. No.	Name & Address of the Company	CIN	Holding/ Associate	% of Shares Held	Applicable Section
1	Regency Nephrocare Pvt. Ltd. 113/104, Swaroop Nagar, Kanpur- 208002, Uttar Pradesh	U85191UP2013PTC058477	Associate Company (J.V.)	49%	2(6)
2	Sibling Lifecare Pvt. Ltd. First Floor, Plot No.17-D Government Industrial Estate, Kalpi Road,Fazalganj Kanpur- 208012 Uttar Pradesh	U85100UP2014PTC062031	Wholly Owned Subsidiary	100%	2(87)
3	Regency Institute of Nursing 117/138, B-2 Sarvodaya Nagar Kanpur- 208005 Uttar Pradesh	U80220UP2019NPL122762	Wholly Owned Subsidiary	100%	2(87)

IV	Share Holding Pattern (Equity Share capital Break up as % to total Equity)								
Category-wise Share Holding									
Category of Shareholders	No. of Shares held at the beginning of the year				No. of Shares held at the end of the year				
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	% change during the year
A. Promoters									
(1) Indian									
a) Individual/HUF	9155727	0	9155727	65.20	9155727	0	9155727	65.20	0
b) Central Govt	0	0	0	0	0	0	0	0	0
c) State Govt(s)	0	0	0	0	0	0	0	0	0
d) Bodies Corporates	0	0	0	0	0	0	0	0	0
e) Bank/FI	0	0	0	0	0	0	0	0	0
f) Any other	0	0	0	0	0	0	0	0	0
Sub Total(A) (1)	9155727	0	9155727	65.20	9155727	0	9155727	65.20	0
(2) Foreign									
a) NRI- Individuals	0	0	0	0	0	0	0	0	0
b) Other Individuals	0	0	0	0	0	0	0	0	0
c) Bodies Corp.	0	0	0	0	0	0	0	0	0
d) Banks/FI	0	0	0	0	0	0	0	0	0
e) Any other	0	0	0	0	0	0	0	0	0
Sub Total (A) (2)	0	0	0	0	0	0	0	0	0
Total Shareholding of Promoter & Promoter Grp. (A)= (A)(1)+(A)(2)	9155727	0	9155727	65.20	9155727	0	9155727	65.20	0
B. Public Shareholding									
(1) Institutions									
a) Mutual Funds	10	110592	110602	0.79	10	460	470	0.00	-0.79
b) Banks/FI	800	0	800	0.01	800	0	800	0.01	0.00
C) Central govt	0	0	0	0	0	0	0	0	0
d) State Govt.	0	0	0	0	0	0	0	0	0
e) Venture Capital Fund	0	0	0	0	0	0	0	0	0
f) Insurance Companies	0	0	0	0	0	0	0	0	0
g) FIIS	2802217	0	2802217	19.95	2802217	0	2802217	19.95	0.00
h) Foreign Venture Capital Funds	0	0	0	0	0	0	0	0	0
i) Others (Alternate Investment fund)	770832	0	770832	5.49	770832	0	770832	5.49	0.00
SUB TOTAL (B)(1):	3573859	110592	3684451	26.24	3573859	460	3574319	25.45	-0.79
(2) Non Institutions									
a) Bodies corporates									
i) Indian	47847	100879	148726	2.46	47807	14890	62697	0.45	-2.01
ii) Overseas	908590	0	908590	0.06	908590	0	908590	0.00	0.00
b) Individuals									
i) Individual shareholders holding nominal share capital upto Rs.1 lakhs	93099	26574	119673	2.25%	93929	222015	315944	2.25%	0.00%
ii) Individuals shareholders holding nominal share capital above Rs. 1 lakhs	17290	0	17290	0.12%	17290	0	17290	0.12%	0.00%
c) Others (specify)							0		
i) N.R.I- Repatriats and Non-Repatriats	300	0	300	0.00%	300	0	300	0.00%	0.00%
ii) Hindu Undivided Family	7632	290	7922	6.00%	7622	290	7912	6.00%	0.00%
iii) Clearing Members	0	0	0	0.00%	0	0	0	0.00%	0.00%
iv) NBFC Registered with RBI	100	0	100	0.00%	0	0	0	0.00%	0.00%
SUB TOTAL (B)(2):	1074858	127743	1202601	2.6084	1075538	237195	1312733	0.5337	-2.01
Total Public Shareholding (B)= (B)(1)+(B)(2)	4648717	238335	4887052	34.80%	4649397	237655	4887052	34.80%	0.00%
C. Shares held by Custodian for GDRs & ADRs	0	0	0	0	0	0	0	0	0
Grand Total (A+B+C)	13804444	238335	14042779	100%	13805124	237655	14042779	100%	

(ii) Shareholding of Promoters								
Sl No.	Shareholders Name	Shareholding at the beginning of the year			Shareholding at the end of the year			
		No. of shares	% of total shares of the company	% of shares pledged/ encumbered to total shares	No. of shares	% of total shares of the company	% of shares pledged/ encumbered to total shares	
1	Atul Kapoor HUF	1182523	8.42%	-	1182523	8.42%	-	0.00%
2	DR. Atul Kapoor	2702260	19.24%	28.13%	2702260	19.24%	28.13%	0.00%
3	Arun Kapoor HUF	52272	0.37%	-	52272	0.37%	-	0.00%
4	Arun Kapoor	5000	0.04%	-	5000	0.04%	-	0.00%
5	Soni Kapoor	649210	4.62%	-	649210	4.62%	-	0.00%
6	Anant Ram Kapoor	886252	6.31%	-	886252	6.31%	-	0.00%
7	Dr. Rashmi Kapoor	3433502	24.45%	59.35%	3433502	24.45%	59.35%	0.00%
8	Abhishek Kapoor	177508	1.26%	-	177508	1.26%	-	0.00%
9	Arun Akshat Kapoor HUF	67200	0.48%	-	67200	0.48%	-	0.00%
	Total	9155727	65.20%	-	9155727	65.20%	-	0.00%

(iii)	Change in Promoters' Shareholding (please specify, if there is no change)				
Sl. No.		Share holding at the beginning of the Year		Cumulative Share holding during the year	
		No. of Shares	% of total shares of the company	No of shares	% of total shares of the company
1	Dr. Atul Kapoor				
	At the beginning of the year	2702260	19.24%		
	At the end of the year			2702260	19.24%
2	Dr. Rashmi Kapoor				
	At the beginning of the year	3433502	24.45%		
	At the end of the year			3433502	24.45%
3	Mr. Anant Ram Kapoor				
	At the beginning of the year	886252	6.31%		
	At the end of the year			886252	6.31%
4	Atul Kapoor HUF				
	At the beginning of the year	1182523	8.42%		
	At the end of the year			1182523	8.42%
5	Arun Kapoor HUF				
	At the beginning of the year	52272	0.37%		
	At the end of the year			52272	0.37%
6	Arun Kapoor				
	At the beginning of the year	5000	0.04%		
	At the end of the year			5000	0.04%
7	Soni Kapoor				
	At the beginning of the year	649210	4.62%		
	At the end of the year			649210	4.62%
8	Abhishek Kapoor				
	At the beginning of the year	177508	1.26%		
	At the end of the year			177508	1.26%
9	Arun Akshat Kapoor HUF				
	At the beginning of the year	67200	0.48%		
	At the end of the year			67200	0.48%
There has been no change in the Promoter Shareholding during the Financial Year 2019-20					

(iv)	Shareholding Pattern of top ten Shareholders (other than Directors, Promoters and Holders of GDRs and ADRs)				
Sl. No		Shareholding at the beginning of the year		Cumulative Shareholding during the year	
	For Each of the Top 10 Shareholders	No.of shares	% of total shares of the company	No of shares	% of total shares of the company
1	International Finance Corporation				
	At the beginning of the year	2802217	19.95%		
	At the end of the year			2802217	19.95%
2	Kois Holdings				
	At the beginning of the year	908590	6.47%		
	At the end of the year			908590	6.47%
3	Healthquad Fund				
	At the beginning of the year	770832	5.49%		
	At the end of the year			770832	5.49%
4	Luxmi Township Limited				
	At the beginning of the year	41166	0.29%		
	At the end of the year			41166	0.29%
5	Priti Kheria				
	At the beginning of the year	17290	0.10%		
	At the end of the year			5000	0.04%
6	RNK Finance and INV Pvt. Ltd.				
	At the beginning of the year	14430	0.11%		
	At the end of the year			14430	0.11%
7	Arvind Champalal Jain				
	At the beginning of the year	9731	0.07%		
	At the end of the year			7331	0.07%
8	Nirmal Kheria				
	At the beginning of the year	5412	0.04%		
	At the end of the year			5312	0.04%
9	Chirag NavinChandra Shah				
	At the beginning of the year		0.04%		
	At the end of the year	5108		5108	0.04%
10	Dr. D.R. Dagra				
	At the beginning of the year	3500	0.02%		
	At the end of the year			3500	0.02%
(v)	Shareholding of Directors & KMP				

Sl. No		Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No.of shares	% of total shares of the company	No of shares	% of total shares of the company
1	Dr. Atul Kapoor				
	At the beginning of the year	2702260	19.24%		
	At the end of the year			2702260	19.24%
2	Dr. Rashmi Kapoor				
	At the beginning of the year	3433502	24.45%		
	At the end of the year			3433502	24.45%

V	INDEBTEDNESS
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Indebtedness of the Company including interest outstanding/accrued but not due for payment				
	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
Indebtedness at the beginning of the financial year				
i) Principal Amount	1,534,859,084.00	218,902.00	-	1,535,077,986.00
ii) Interest due but not paid	9,376,697.00	-	-	9,376,697.00
iii) Interest accrued but not due	2,465,863.00	2,613.00	-	2,468,476.00
Total (i+ii+iii)	1,546,701,644.00	221,515.00	-	1,546,923,159.00
Change in Indebtedness during the financial year				
Additions	233,452,639.00		-	233,452,639.00
Reduction	125,256,738.00	221,515.00	-	125,478,253.00
Net Change	108,195,901.00	- 221,515.00	-	107,974,386.00
Indebtedness at the end of the financial year				
i) Principal Amount	1,651,411,504	-	-	1,651,411,504.00
ii) Interest due but not paid	237,186.00	-	-	237,186.00
iii) Interest accrued but not due	3,248,854.00	-	-	3,248,854.00
Total (i+ii+iii)	1,654,897,544.00	-	-	1,654,897,544.00

VI	REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL			
A.	Remuneration to Managing Director, Whole time director and/or Manager:			
Sl.No	Particulars of Remuneration	Name of the MD/WTD/Manager		Total Amount
1	Gross salary	Dr. Atul Kapoor	Dr.Rashmi Kapoor	
	(a) Salary as per provisions contained in section 17(1) of the Income Tax.	10,423,329.00	8,260,000.00	18,683,329.00
	(b) Value of perquisites u/s 17(2) of the Income tax Act, 1961	-	-	-
	(c) Profits in lieu of salary under section 17(3) of the Income Tax Act,	-	-	-
2	Stock option	-	-	-
3	Sweat Equity	-	-	-
4	Commission	-	-	-
	as % of profit	-	-	-
5	Others	-	-	-
	Total (A)	10,423,329.00	8,260,000.00	18,683,329.00
	Ceiling as per the Act	In accordance with Section 197 read with Schedule 5 of the Companies Act, 2013		

B.	Remuneration to other directors:								
Sl.No	Particulars of Remuneration		Name of the Directors						Total Amount
			Mr. Anil Kumar Khemka	Ms. Tanushree Shyam Bagrodia (Non-Independent)	Mr. Rajiv Kumar Bakshi*	Mr. Rabindra Nath Mohanty	Mr. Charles Antoine Janssen (Non Independent)	Mr Arun Kumar Shrivastava	
1	Independent Directors								
	(a) Fee for attending board /committee meetings	180,000	-	90,000	180,000	-	30,000	480,000.00	
	(b) Commission								
	(c) Others, please specify (Travelling)							-	
	Total (1)	180,000.00	-	90,000.00	180,000.00	-	30,000.00	480,000.00	
2	Other Non Executive Directors								
	(a) Fee for attending board /committee meetings	-	127,500	-	-	75,000	-	-	
	(b) Commission	-	-	-	-	-	-	-	
	(c) Others, please specify.	-	-	-	-	-	-	-	
	Total (2)	-	127,500.00	-	-	75,000.00	-	-	
	Total (B)=(1+2)	180,000.00	127,500.00	90,000.00	180,000.00	75,000.00	30,000.00	682,500.00	
	Ceiling as per the act		1% of Net profits of the Company for all Non-Executive Directors						
	*During the year under review Mr. Rajiv Kumar Bakshi ceased to be an Independent Director w.e.f. 29th September, 2019. This figure denotes sitting fees for a meetings held from 1st April 2019 upto 29th September, 2019								

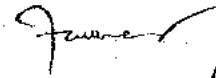
C.	REMUNERATION TO KEY MANAGERIAL PERSONNEL OTHER THAN MD/MANAGER/WTD				
Sl. No.	Particulars of Remuneration	Key Managerial Personnel			Total
1	Gross Salary	Company Secretary*		CFO	
	(a) Salary as per provisions contained in section 17(1) of the Income Tax Act, 1961	1171008**	85346***	1,626,385.00	2,882,739.00
	(b) Value of perquisites u/s 17(2) of the Income Tax Act, 1961	-	-	-	-
	(c) Profits in lieu of salary under section 17(3) of the Income Tax Act, 1961	-	-	-	-
2	Stock Option	-	-	-	-
3	Sweat Equity	-	-	-	-
4	Commission	-	-	-	-
	as % of profit	-	-	-	-
5	Others- Bonus and Leave encashment				
	Total	1,171,008.00	85346	1,626,385.00	2,882,739.00
	* During the Year under review changes took place in Company Secretary by way of resignation of Mr. Rishi Tandon w.e.f 5.02.2020 and Mr.Shyam Sultania was appointed as Company Secretary w.e.f. 20.02.2020				
	** The figure denotes salary of Mr. Rishi Tandon for period commencing from 1st April 2019 upto 5th February 2020				
	*** The figure denotes salary of Mr. Shyam Sultania for period commencing from 20th Feb 2020 upto 31st March 2020				

VII		PENALTIES/PUNISHMENT/COMPOUNDING OF OFFENCES			
Type	Section of the Companies Act	Brief Description	Details of Penalty/Punishment/Compounding fees imposed	Authority (RD/NCLT/ Court)	Appeal made if any (give details)
A. COMPANY					
Penalty	NONE				
Punishment					
Compounding					
B. DIRECTORS					
Penalty	NONE				
Punishment					
Compounding					
C. OTHER OFFICERS IN DEFAULT					
Penalty	NONE				
Punishment					
Compounding					

Details of Committee Meeting held during F.Y 2019-20 of Regency Hospital Limited

S.no	Types of meeting	Date of Meeting	Total no. of Members as on Date of Meeting	Number of Members Attended	% of Attendance
1	Audit Committee Meeting	17-05-2019	4	4	100
2	Audit Committee Meeting	14-09-2019	4	4	100
3	Audit Committee Meeting	02-12-2019	3	3	100
4	Audit Committee Meeting	27-02-2020	3	3	100
5	Stakeholder Relationship Committee	17-05-2019	4	4	100
6	Stakeholder Relationship Committee	14-09-2019	4	4	100
7	Nomination and Remuneration Committee	17-05-2019	3	3	100
8	Nomination and Remuneration Committee	14-09-2019	3	3	100
9	Nomination and Remuneration Committee	02-12-2019	3	3	100
10	Nomination and Remuneration Committee	27-02-2020	3	3	100
11	Independent Directors Committee	27-02-2020	2	2	100
12	Corporate Social Responsibility Committee	31-03-2020	3	3	100

For Regency Hospital Ltd.



Atul Kapoor
Managing Director
 DIN No-01449229



Form No. MGT-8

*[Pursuant to section 92(2) of the Companies Act, 2013 and rule 11(2) of Companies
(Management and Administration) Rules, 2014]*

CERTIFICATE BY A COMPANY SECRETARY IN PRACTICE

UDIN:F005182B002011450

I have examined the registers, records and books and papers of **M/s Regency Hospital Ltd (CIN No- U85110UP1987PLC008792)**(the Company) as required to be maintained under the Companies Act, 2013 (the Act) and the rules made there under for the financial year ended on 31st March, 2020.

In my opinion and to the best of my information and according to the examinations carried out by me and explanations furnished to me by the company, its officers and agents, I certify that:

- A. The Annual Return states the facts as at the close of the aforesaid financial year correctly and adequately.
- B. During the aforesaid financial year the Company has complied with provisions of the Act & Rules made there under in respect of:
1. The Company being a Public Limited Company has the minimum prescribed paid-up capital and its maximum number of members during the said financial year was less within the limit prescribed under the Act and the company during the year under scrutiny:
 - (i) Has not invited public to subscribe for its shares or debentures; and
 - (ii) Have not invited or accepted any deposits from persons other than its members, Directors or their relatives.
 2. The Company has kept and maintained all registers as stated in Annexure "A" to this certificate, as per the provisions of the Act and the rules made there under and all entries therein have been duly recorded;
 3. The Company has filed all requisite forms and returns as stated in Annexure "B" to this certificate, with the Registrar of Companies, Regional Director, Central Government, the Tribunal, Court or other authorities within the prescribed time;
 4. The calling/ convening/ holding meetings of Board of Directors or its committees, and the meetings of the members of the company on due dates as stated in the annual return in respect of which proper notices were given and the proceedings including the



S K S & COMPANY

Company Secretaries

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REGAL CINEMA BUILDING
THE MALL, KANPUR-208001
Phone : 9044738300
Mobile : 09838076772
e-mail : sksco2001@gmail.com

circular resolutions and resolutions passed by postal ballot, if any, have been properly recorded in the Minute Book/registers maintained for the purpose and the same have been signed;

5. The company has not made advances/loans to its directors and/or persons or firms or companies referred in section 185 of the Act;
6. The contracts/arrangements with related parties as specified in section 188 of the Act have been entered into in accordance with the provisions of the Act;
7. There was no issue or allotment of shares during the year under review. Further, transfer or transmission has been carried out after complying with the provisions of the Act. Company did not buy back securities/redeemed preference shares or debentures/alter or reduced its share capital/ converted shares/securities. Issue of security certificate in respect of duplicate share certificate is done after complying with the provisions of the Act;
8. The company was not required to keep in abeyance the rights to dividend, rights shares and bonus shares pending registration of transfer of shares in compliance with the provisions of the Act;
9. The company has not declared payment of dividend; transfer of unpaid/ unclaimed dividend/other amounts as applicable to the Investor Education and Protection Fund in accordance with section 125 of the Act;
10. The company has done signing of audited financial statement as per the provisions of section 134 of the Act and report of directors is as per sub - sections (3), (4) and (5) thereof;
11. The Board of Directors of the company was duly constituted / appointment/ re-appointments/ retirement/ filling up casual vacancies/ disclosures of the Directors, Key Managerial Personnel and the remuneration paid to them has been accordance with the provisions of the Act;
12. The appointment/ reappointment/ of auditors has been done as per the provisions of section 139 of the Act;
M/s Walker Chandiok & Co., Chartered Accountants were reappointed for term of 5 years from the conclusion of this Annual General Meeting till the conclusion of Annual General Meeting for financial year 2024-25.
13. The company was not required to obtain approvals required to be taken from the Central Government, Tribunal, Regional Director, Registrar, Court or such other authorities under the provisions of the Act;



S K S & COMPANY

Company Secretaries

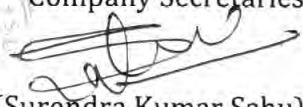
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14. The company has not accepted / renewed/ not required repayment of deposits;
15. The borrowings from its directors, public financial institutions, banks and others have been made in accordance with after complying with the relevant provisions of the Act, and creation/ modification/ satisfaction of charges in that respect has been done as required by the Act.;
16. The company has made loans and investments to other bodies corporate or persons falling under the provisions of section 186 of the Act but has not given any guarantee during the year;
17. The company hasnot altered Memorandum and/or Articles of Association of the Company;

Place:Kanpur
Date:15.01.2021



For S K S & COMPANY
Company Secretaries


(Surendra Kumar Sahu)
FCS 5182

Name of the Company : REGENCY HOSPITAL LIMITED

Registration No. : U85110UP1987PLC008792

Annexure A

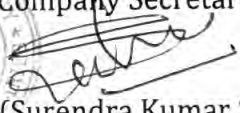
List of Registers and Records as maintained by the Company:-

1. Register of Members u/s 88
2. Minutes Books (General meeting and Board Meetings) u/s 118
3. Books of Accounts u/s 128
4. Register and Return u/s 94
5. Registers of Directors, KMP & their shareholding u/s 170
6. Register of Transfer of Shares u/s 56
7. Register of Contracts u/s 189
8. Directors' and Member's Attendance Book
9. Register of Charges u/s 85

Place: Kanpur
Date: 15.01.2021



For S K S & COMPANY
Company Secretaries


(Surendra Kumar Sahu)
FCS 5182

Annexure B

Forms and Returns as filed by the Company with Registrar of Companies, Uttar Pradesh, during the Financial Year ended 31st March, 2020 :-

S.no.	Form no.	Filed u/s	Date of filing	Whether filed in prescribed time	If delay in filing whether requisite additional fee paid
1	INC20A	10A(1)(a)	08.04.2019	Yes	N.A
2	PAS-3	39(4), 42(9)	02.04.2019	Yes	No
3	CRA-2	148 (3)	20.05.2019	Yes	N.A
4	CHG-4	82(1)	13.05.2019	Yes	NO
5	CHG-4	82(1)	13.05.2019	Yes	NO
6	CHG-1	77,78,79	16.05.2019	Yes	NO
7	DPT-3	Rule 16 of Companies(Acceptance of Deposits) rules, 2014	22.06.2019	Yes	No
8	DPT-3	Rule 16 of Companies(Acceptance of Deposits) rules, 2014	22.06.2019	Yes	No
9	BEN-2	90(4)	24.07.2019	Yes	No
10	CHG-1	77,78,79	13.08.2019	Yes	No
11	GNL-1-Form for filing an application with Registrar of Companies for application for extension of AGM	Rule 12(2) of the Companies (Registration offices and Fees) Rules, 2014]	06.09.2019	Yes	N.A
12	MSME FORM-	Order 2 and 3	16.09.2019	Yes	NA



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	1	dated 22 January, 2019 issued under Section 405 of the Companies Act, 2013]			
13	MGT-14	94(1), 117(1)	19.09.2019	Yes	N.A
14	MGT-14	94(1), 117(1)	7.10.2019	Yes	N.A
15	DIR-12	7(1)@,168 & 170(2)	07.10.2019	Yes	N.A
16	DIR-12	7(1)@,168 & 170(2)	07.10.2019	Yes	N.A
17	CHG-1	77,78,79	10.10.2019	Yes	No
18	DIR-12	7(1)@,168 & 170(2)	13.12.2019	Yes	N.A
19	CHG-4	82(1)	14.01.2020	Yes	NO
20	CHG-4	82(1)	14.01.2020	Yes	No
21	CHG-4	82(1)	14.01.2020	Yes	No
22	CHG-4	82(1)	15.01.2020	Yes	No
23	CHG-4	82(1)	15.01.2020	Yes	No
24	CRA-4	148 (6)	12.12.2019	Yes	No
25	AOC-4 XBRL	137	21.12.2019	Yes	N.A
26	MGT-7	92(1)	13.01.2020	Yes	N.A
27	DIR-12	7(1)@,168 & 170(2)	13.02.2020	Yes	N.A
28	MGT-14	94(1), 117(1)	21.03.2020	Yes	N.A

Place: Kanpur
Date: 15.01.2021



For S K S & COMPANY
Company Secretaries

(Surendra Kumar Sahu)
FCS 5182