

Form No. MGT- 9
EXTRACT OF ANNUAL RETURN
as on the Financial Year ended on 31st March 2021

I	Registration & Other Details:
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i	CIN	U85110UP1987PLC008792
ii	Registration Date	8th June 1987
iii	Name of the Company	Regency Hospital Limited
iv	Category/Sub-category of the Company	Company Limited by Shares/Indian Non-Government Company
v	Address of the Registered office & contact details	A-2, Sarvodaya Nagar, Kanpur-208005, Uttar Pradesh, India Ph. No.-0512-3081111 e-mail-investor@regencyhealthcare.in website: https://regencyhealthcare.in/
vi	Whether listed company	No
vii	Name , Address & contact details of the Registrar & Transfer Agent, if any.	Skyline Financial Services Pvt. Ltd., D-153/A, 1st Floor, Okhla Industrial Area, Phase-1, New Delhi-110020 Ph. No-011-26812682/83 email: admin@skylinerta.com website: http://www.skylinerta.com/

II	Principal Business Activities of The Company
	All the business activities contributing 10% or more of the total turnover of the company shall be stated

SL No	Name & Description of main products/services	NIC Code of the Product /service	% to total turnover of the company
1	Hospital Activity	8610	100%

III	Particulars of Holding , Subsidiary & Associate Companies-
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S. No.	Name & Address of the Company	CIN	Holding/ Subsidiary Associate	% of Shares Held	Applicable Section
1	Regency Nephrocare Pvt. Ltd. 113/104, Swaroop Nagar, Kanpur- 208002, Uttar Pradesh	U85191UP2013PTC058477	Associate Company (Joint Venture)	49%	2(6)
2	Sibling Lifecare Pvt. Ltd. First Floor, Plot No.17-D Government Industrial Estate, Kalpi Road,Fazalganj Kanpur- 208012 Uttar Pradesh	U85100UP2014PTC062031	Wholly Owned Subsidiary	100%	2(87)
3	Regency Institute of Nursing 117/138, B-2 Sarvodaya Nagar Kanpur- 208005 Uttar Pradesh	U80220UP2019NPL122762	Wholly Owned Subsidiary	100%	2(87)

IV	Share Holding Pattern (Equity Share capital Break up as % to total Equity)								
Category-wise Share Holding									
Category of Shareholders	No. of Shares held at the beginning of the year				No. of Shares held at the end of the year				
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	% change during the year
A. Promoters									
(1) Indian									
a) Individual/HUF	9155727	0	9155727	65.20	9155727	0	9155727	65.20	0
b) Central Govt	0	0	0	0	0	0	0	0	0
c) State Govt(s)	0	0	0	0	0	0	0	0	0
d) Bodies Corporates	0	0	0	0	0	0	0	0	0
e) Bank/FI	0	0	0	0	0	0	0	0	0
f) Any other	0	0	0	0	0	0	0	0	0
Sub Total(A) (1)	9155727	0	9155727	65.20	9155727	0	9155727	65.20	0
(2) Foreign									
a) NRI- Individuals	0	0	0	0	0	0	0	0	0
b) Other Individuals	0	0	0	0	0	0	0	0	0
c) Bodies Corp.	0	0	0	0	0	0	0	0	0
d) Banks/FI	0	0	0	0	0	0	0	0	0
e) Any other	0	0	0	0	0	0	0	0	0
Sub Total (A) (2)	0	0	0	0	0	0	0	0	0
Total Shareholding of Promoter & Promoter Grp. (A)= (A)(1)+(A)(2)	9155727	0	9155727	65.20	9155727	0	9155727	65.20	0
B. Public Shareholding									
(1) Institutions									
a) Mutual Funds	10	460	470	0.00	10	460	470	0.00	0.00
b) Banks/FI	800	0	800	0.01	800	0	800	0.01	0.00
C) Central govt	0	0	0	0	0	0	0	0	0
d) State Govt.	0	0	0	0	0	0	0	0	0
e) Venture Capital Fund	0	0	0	0	0	0	0	0	0
f) Insurance Companies	0	0	0	0	0	0	0	0	0
g) FIIS	2802217	0	2802217	19.95	2802217	0	2802217	19.95	0.00
h) Foreign Venture Capital Funds	0	0		0	0	0	0	0	0
i) Others (Alternate Investment fund)	770832	0	770832	5.49	770832	0	770832	5.49	0.00
SUB TOTAL (B)(1):	3573859	460	3574319	25.45	3573859	460	3574319	25.45	-0.79
(2) Non Institutions									
a) Bodies corporates									
i) Indian	47807	14890	62697	0.45	69065	14890	83955	0.60	0.15
ii) Overseas	908590	0	908590	6.47	908590	0	908590	6.47	0.00
b) Individuals									
i) Individual shareholders holding nominal share capital upto Rs.1 lakhs	93929	222015	315944	2.25	90152	221815	311967	2.22	-0.03
ii) Individuals shareholders holding nominal share capital above Rs. 1 lakhs	17290	0	17290	0.12	0	0	0	0.00	-0.12
c) Others (specify)									
i) N.R.I- Repatriats and Non-Repatriats	300	0	300	0.00	300	0	300	0.00	0.00
ii) Hindu Undivided Family	7622	290	7912	0.06	7631	290	7921	0.06	0.00
iii) Clearing Members	0	0	0	0.00	0	0	0	0.00	0.00
iv) NBFC Registered with RBI	0	0	0	0.00	0	0	0	0.00	0.00
SUB TOTAL (B)(2):	1075538	237195	1312733	9.35	1075738	236995	1312733	9.35	0
Total Public Shareholding (B)= (B)(1)+(B)(2)	4649397	237655	4887052	34.80	4649597	237455	4887052	34.80	0.00
C. Shares held by Custodian for GDRs & ADRs	0	0	0	0	0	0	0	0	0
Grand Total (A+B+C)	13805124	237655	14042779	100.00	13805324	237455	14042779	100.00	

(ii)	Shareholding of Promoters							
Sl No.	Shareholders Name	Shareholding at the beginning of the year			Shareholding at the end of the year			
		No. of shares	% of total shares of the company	% of shares pledged/encumbered to total shares	No. of shares	% of total shares of the company	% of shares pledged/encumbered to total shares	% change in share holding during the year
1	Atul Kapoor HUF	1182523	8.42%	-	1182523	8.42%	-	-
2	DR. Atul Kapoor	2702260	19.24%	8.30%	2702260	19.24%	8.30%	-
3	Arun Kapoor HUF	52272	0.37%	-	52272	0.37%	-	-
4	Arun Kapoor	5000	0.04%	-	5000	0.04%	-	-
5	Soni Kapoor	649210	4.62%	-	649210	4.62%	-	-
6	Anant Ram Kapoor	886252	6.31%	-	886252	6.31%	-	-
7	Dr. Rashmi Kapoor	3433502	24.45%	22.26%	3433502	24.45%	22.26%	-
8	Abhishek Kapoor	177508	1.26%	-	177508	1.26%	-	-
9	Arun Akshat Kapoor HUF	67200	0.48%	-	67200	0.48%	-	-
	Total	9155727	65.20%	-	9155727	65.20%	-	-

(iii) Change in Promoters' Shareholding (please specify, if there is no change)					
Sl. No.		Share holding at the beginning of the Year		Cumulative Share holding during the year	
		No. of Shares	% of total shares of the company	No of shares	% of total shares of the company
1	Dr. Atul Kapoor				
	At the beginning of the year	2702260	19.24%		
	At the end of the year			2702260	19.24%
2	Dr. Rashmi Kapoor				
	At the beginning of the year	3433502	24.45%		
	At the end of the year			3433502	24.45%
3	Mr. Anant Ram Kapoor				
	At the beginning of the year	886252	6.31%		
	At the end of the year			886252	6.31%
4	Atul Kapoor HUF				
	At the beginning of the year	1182523	8.42%		
	At the end of the year			1182523	8.42%
5	Arun Kapoor HUF				
	At the beginning of the year	52272	0.37%		
	At the end of the year			52272	0.37%
6	Arun Kapoor				
	At the beginning of the year	5000	0.04%		
	At the end of the year			5000	0.04%
7	Soni Kapoor				
	At the beginning of the year	649210	4.62%		
	At the end of the year			649210	4.62%
8	Abhishek Kapoor				
	At the beginning of the year	177508	1.26%		
	At the end of the year			177508	1.26%
9	Arun Akshat Kapoor HUF				
	At the beginning of the year	67200	0.48%		
	At the end of the year			67200	0.48%
	<i>There has been no change in the Promoter Shareholding during the Financial Year 2020-21</i>				

(iv) Shareholding Pattern of top ten Shareholders (other than Directors, Promoters and Holders of GDRs and ADRs)					
Sl. No		Shareholding at the beginning of the year		Cumulative Shareholding during the year	
	For Each of the Top 10 Shareholders	No.of shares	% of total shares of the company	No of shares	% of total shares of the company
1	International Finance Corporation				
	At the beginning of the year	2802217	19.95%		
	At the end of the year			2802217	19.95%
2	Kois Holdings				
	At the beginning of the year	908590	6.47%		
	At the end of the year			908590	6.47%
3	Healthquad Fund				
	At the beginning of the year	770832	5.49%		
	At the end of the year			770832	5.49%
4	Luxmi Township Limited				
	At the beginning of the year	41166	0.29%		
	At the end of the year			41166	0.29%
5	RNK Finance and INV Pvt. Ltd.				
	At the beginning of the year	14430	0.11%		
	At the end of the year			14430	0.11%
6	Arvind Champalal Jain				
	At the beginning of the year	9731	0.07%		
	At the end of the year			7331	0.07%
7	Priti Kheria				
	At the beginning of the year	17290	0.12%		
	At the end of the year			5000	0.04%
8	Chirag NavinChandra Shah				
	At the beginning of the year	5108	0.04%		
	At the end of the year			5108	0.04%
9	Dr D R Dagra				
	At the beginning of the year	3500	0.02%		
	At the end of the year			3500	0.02%
10	Nirmal Kheria				
	At the beginning of the year	5412	0.04%		
	At the end of the year			100	0.00%

(v) Shareholding of Directors & KMP					
Sl. No	For Each of the Directors & KMP	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No.of shares	% of total shares of the company	No of shares	% of total shares of the company
	Dr. Atul Kapoor				
1	At the beginning of the year	2702260	19.24%		
	At the end of the year			2702260	19.24%
	Dr. Rashmi Kapoor				
2	At the beginning of the year	3433502	24.45%		
	At the end of the year			3433502	24.45%

V	INDEBTEDNESS			
Indebtedness of the Company including interest outstanding/accrued but not due for payment				
	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
Indebtedness at the beginning of the financial year				
i) Principal Amount	1,651,411,503.97	-	-	1,651,411,503.97
ii) Interest due but not paid	237,186.00	-	-	237,186.00
iii) Interest accrued but not due	3,248,854.00	-	-	3,248,854.00
Total (i+ii+iii)	1,654,897,543.97	-	-	1,654,897,543.97
Change in Indebtedness during the financial year				
Additions	578,008,067.00	-	-	578,008,067.00
Reduction	297,698,012.87	-	-	297,698,012.87
Net Change	280,310,054.13	-	-	280,310,054.13
Indebtedness at the end of the financial year				
i) Principal Amount	1,930,905,325	-	-	1,930,905,324.77
ii) Interest due but not paid	-	-	-	-
iii) Interest accrued but not due	4,302,273.00	-	-	4,302,273.00
Total (i+ii+iii)	1,935,207,597.77	-	-	1,935,207,597.77

VI	REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL			
A.	Remuneration to Managing Director, Whole time director and/or Manager:			
Sl.No	Particulars of Remuneration	Name of the MD/WTD/Manager		Total Amount
1	Gross salary	Dr. Atul Kapoor	Dr.Rashmi Kapoor	
	(a) Salary as per provisions contained in section 17(1) of the Income Tax.	9,705,416.00	7,407,000.00	17,112,416.00
	(b) Value of perquisites u/s 17(2) of the Income tax Act, 1961	2,430,000.00	1,848,000.00	4,278,000.00
	(c) Profits in lieu of salary under section 17(3) of the Income Tax Act,	-	-	-
2	Stock option	-	-	-
3	Sweat Equity	-	-	-
4	Commission	-	-	-
	as % of profit	-	-	-
5	Others	-	-	-
	Total (A)	12,135,416.00	9,255,000.00	21,390,416.00
	Ceiling as per the Act	In accordance with Section 197 read with Schedule 5 of the Companies Act, 2013		
	Note: Independent Directors viz. Anil Kumar Khemka, Rabindra Nath Mohanty & Arun Shrivastava, Non Executive (Nominee Directors viz. Charles Antoine Janssen & Tanushree Shyam Bagrodia, Mr. Deepak Kumar Gupta, Chief Financial Officer and Ms. Ankita Gupta, Company Secretary did not hold any shares in the Company during the Financial Year 2020-21			

B.	Remuneration to other directors:						
Sl.No	Particulars of Remuneration	Name of the Directors					Total Amount
		Mr. Anil Kumar Khemka	Ms. Tanushree Shyam Bagrodia (Non-Independent)	Mr. Rabindra Nath Mohanty	Mr. Charles Antoine Janssen (Non Independent)	Mr Arun Kumar Shrivastava	
1	Independent Directors						
	(a) Fee for attending board /committee meetings	285,000	-	285,000	-	210,000	780,000.00
	(b) Commission						
	(c) Others, please specify (Travelling)						-
	Total (1)	285,000.00	-	285,000.00	-	210,000.00	780,000.00
2	Other Non Executive Directors						
	(a) Fee for attending board /committee meetings	-	120,000	-	150,000	-	2,70,000
	(b) Commission	-	-	-	-	-	-
	(c) Others, please specify.	-	-	-	-	-	-
	Total (2)	-	120,000.00	-	150,000.00	-	2,70,000
	Total (B)=(1+2)	285,000.00	120,000.00	285,000.00	150,000.00	210,000.00	1,050,000.00
	Ceiling as per the act	1% of Net profits of the Company for all Non-Executive Directors					

C.	REMUNERATION TO KEY MANAGERIAL PERSONNEL OTHER THAN MD/MANAGER/WTD				
Sl. No.	Particulars of Remuneration	Key Managerial Personnel			Total
1	Gross Salary	Company Secretary*		CFO	
		Shyam Sultania**	Ankita Gupta***		
	(a) Salary as per provisions contained in section 17(1) of the Income Tax Act, 1961.	539,154.00	533,770.00	1,951,765.00	2,882,739.00
	(b) Value of perquisites u/s 17(2) of the Income Tax Act, 1961	13,620.00	10,383.00	15,000.00	-
	(c) Profits in lieu of salary under section 17(3) of the Income Tax Act, 1961	-	-	-	-
2	Stock Option	-	-	-	-
3	Sweat Equity	-	-	-	-
4	Commission	-	-	-	-
	as % of profit	-	-	-	-
5	Others- Bonus and Leave encashment	-	-	-	-
	Total	5,52,774**	544,153.00	1,966,765.00	2,882,739.00
	* During the Year under review changes took place in Company Secretary by way of resignation of Mr. Shyam Sultania w.e.f 17.08.2020 and Ms. Ankita Gupta was appointed as Company Secretary w.e.f. 17.08.2020				
	** The figure denotes salary of Mr. Shyam Sultania for period commencing from 1st April 2020 upto 16th August 2020				
	*** The figure denotes salary of Ms. Ankita Gupta for period commencing from 17th August 2020 upto 31st March 2021				

VII	PENALTIES/PUNISHMENT/COMPOUNDING OF OFFENCES				
Type	Section of the Companies Act	Brief Description	Details of Penalty/Punishment/Compounding fees imposed	Authority (RD/NCLT/ Court)	Appeal made if any (give details)
A. COMPANY					
Penalty	NONE				
Punishment					
Compounding					
B. DIRECTORS					
Penalty	NONE				
Punishment					
Compounding					
C. OTHER OFFICERS IN DEFAULT					
Penalty	NONE				
Punishment					
Compounding					